# NORMALITY OF NUMBERS GENERATED BY THE VALUES OF ENTIRE FUNCTIONS 

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#### Abstract

We show that the number generated by the $q$-ary integer part of an entire function of logarithmic order, where the function is evaluated over the natural numbers and the primes, respectively, is normal in base $q$. This is an extension of related results for polynomials over the real numbers established by Nakai and Shiokawa.


## 1. Introduction

Let $q \geq 2$ be a fixed integer and $\theta=0 . a_{1} a_{2} \ldots$ be the $q$-ary expansion of a real number $\theta$ with $0<\theta<1$. We write $d_{1} \ldots d_{l} \in\{0,1, \ldots, q-1\}^{l}$ for a block of $l$ digits in the $q$-ary expansion. By $\mathcal{N}\left(\theta ; d_{1} \ldots d_{l} ; N\right)$ we denote the number of occurrences of the block $d_{1} \ldots d_{l}$ in the first $N$ digits of the $q$-ary expansion of $\theta$. We call $\theta$ normal to the base $q$ if for every fixed $l \geq 1$

$$
\mathcal{R}_{N}(\theta)=\mathcal{R}_{N, l}(\theta)=\sup _{d_{1} \ldots d_{l}}\left|\frac{1}{N} \mathcal{N}\left(\theta ; d_{1} \ldots d_{l} ; N\right)-\frac{1}{q^{l}}\right|=o(1)
$$

as $N \rightarrow \infty$, where the supremum is taken over all blocks $d_{1} \ldots d_{l} \in\{0,1, \ldots, q-1\}^{l}$.
We want to look at numbers whose digits are generated by the integer part of entire functions. Let $f$ be any function and $[f(n)]_{q}$ denote the base $q$ expansion of the integer part of $f(n)$, then define

$$
\left.\begin{array}{rl}
\theta_{q} & =\theta_{q}(f) \\
\tau_{q} & =0 \cdot[f(1)]_{q}[f(2)]_{q}[f(3)]_{q}[f(4)]_{q}[f(5)]_{q}[f(6)]_{q} \ldots \tag{1.1}
\end{array}\right] .[f(2)]_{q}[f(3)]_{q}[f(5)]_{q}[f(7)]_{q}[f(11)]_{q}[f(13)]_{q} \ldots, ~ \$
$$

where the sequences of the arguments run through the positive integers and the primes, respectively.

In this paper we consider the construction of normal numbers in base $q$ as concatenation of $q$-ary integer parts of certain functions. The first result on that topic was achieved by Champernowne [2], who was able to show that

$$
0.1234567891011121314151617181920 \ldots
$$

is normal in base 10. This construction can be easily generalised to any integer base $q$. Copeland and Erdös [4] were able to show that

$$
0.2357111317192329313741434753596167 \ldots
$$

is normal in base 10. These examples correspond to the choice $f(x)=x$ in (1.1). Davenport and Erdös [5] considered the case where $f(x)$ is a polynomial whose values at $x=1,2, \ldots$ are always integers and showed that in this case the numbers $\theta_{q}(f)$ and $\tau_{q}(f)$ are normal. For $f(x)$ a polynomial with rational coefficients Schiffer [10] was able to show that $\mathcal{R}_{N}\left(\theta_{q}(f)\right)=\mathcal{O}(1 / \log N)$. Nakai and Shiokawa [8] extended his results and showed that $\mathcal{R}_{N}\left(\tau_{q}(f)\right)=\mathcal{O}(1 / \log N)$. In the case of real coefficients Nakai and Shiokawa [7] proved the same estimate for $\mathcal{R}_{N}\left(\theta_{q}(f)\right)$. In this paper we want to discuss the case where $f(x)$ is a transcendental entire function (i.e., an entire

[^0]function that is not a polynomial) of small logarithmic order. Recall that we say an increasing function $S(r)$ has logarithmic order $\lambda$ if
\[

$$
\begin{equation*}
\limsup _{r \rightarrow \infty} \frac{\log S(r)}{\log \log r}=\lambda \tag{1.2}
\end{equation*}
$$

\]

We define the maximum modulus of an entire function $f$ to be

$$
\begin{equation*}
M(r, f):=\max _{|x| \leq r}|f(x)| \tag{1.3}
\end{equation*}
$$

If $f$ is an entire function and $\log M(r, f)$ has logarithmic order $\lambda$, then we call $f$ an entire function of logarithmic order $\lambda$.

To achieve our results we combine the following ingredients.

- The first part of the proofs concerns the estimation for the number of solutions of the equation $f(x)=a$ where $a \in \mathbb{C}(c f .[3],[11$, Section 8.21$])$ for entire functions of zero order.
- Following the methods of Nakai and Shiokawa [7, 8] we reformulate the problem in an estimation of exponential sums.
- Finally, the resulting exponential sums are treated by an exponential sum estimate of Baker [1], which was originally used to show that the sequences

$$
(f(n))_{n \geq 1} \quad \text { and } \quad(f(p))_{p \text { prime }}
$$

are uniformly distributed modulo 1 for $f$ an entire function with logarithmic order $1<$ $\alpha<\frac{4}{3}$.
The main results of our papers are as follows.
Theorem 1. Let $f(x)$ be a transcendental entire function which takes real values on the real line. Suppose that the logarithmic order $\alpha=\alpha(f)$ of $f$ satisfies $1<\alpha<\frac{4}{3}$. Then for any block $d_{1} \ldots d_{l} \in\{0,1, \ldots, q-1\}^{l}$, we have

$$
\mathcal{N}\left(\theta_{q}(f) ; d_{1} \ldots d_{l} ; N\right)=\frac{1}{q^{l}} N+o(N)
$$

as $N$ tends to $\infty$. The implied constant depends only on $f, q$, and $l$.
For primes we show that $\tau_{q}(f)$ is normal in the following theorem.
Theorem 2. Let $f(x)$ be a transcendental entire function which takes real values on the real line. Suppose that the logarithmic order $\alpha=\alpha(f)$ of $f$ satisfies $1<\alpha<\frac{4}{3}$. Then for any block $d_{1} \ldots d_{l} \in\{0,1, \ldots, q-1\}$, we have

$$
\mathcal{N}\left(\tau_{q}(f) ; d_{1} \ldots d_{l}, N\right)=\frac{1}{q^{l}} N+o(N)
$$

as $N$ tends to $\infty$. The implied constant depends only on $f, q$, and $l$.

## 2. Notation

Throughout the paper let $f$ be a transcendental entire function of logarithmic order $\alpha$ satisfying $1<\alpha<\frac{4}{3}$ and taking real values on the real line. Let

$$
f(x)=\sum_{k=1}^{\infty} a_{k} x^{k}
$$

be the power series expansion of $f$. By $\log x$ and $\log _{q} x$ we denote the natural logarithm and the logarithm with respect to base $q$, respectively. Moreover, we set $e(\beta):=\exp (2 \pi i \beta)$.

Let $p$ always denote a prime and $\sum^{\prime}$ be a sum over primes. By an integer interval $I$ we mean a set of the form $I=\{a, a+1, \ldots, b-1, b\}$ for arbitrary integers $a$ and $b$.

Furthermore, we denote by $n(r, f)$ the number of zeros of $f(x)$ for $|x| \leq r$.

## 3. LEMMAS

First we state the above-mentioned result of Baker that will permit us to estimate exponential sums over entire functions with small logarithmic order by choosing the occurring parameters appropriately.

Lemma 3.1 ([1, Theorem 4]). Let $d$ and $h$ be integers, with $8 \leq h \leq d$. Let $a_{1}, \ldots, a_{d}$ be real numbers and suppose that

$$
\begin{gather*}
N^{-h} \exp \left(20 \frac{\log N}{(\log \log N)^{2}}\right)<\left|a_{h}\right|<\exp \left(-10^{3} h^{2}\right)  \tag{3.1}\\
\left|a_{k}\right| \leq \exp \left(-20 \frac{\log N}{(\log \log N)^{2}}\right) \quad(h<k \leq d) \tag{3.2}
\end{gather*}
$$

Suppose further that

$$
\begin{equation*}
\log N \geq 10^{5} d^{3}(\log d)^{5} \tag{3.3}
\end{equation*}
$$

Then, writing $g(x)=a_{d} x^{d}+\cdots+a_{1} x$, we have

$$
\begin{equation*}
S=\sum_{n \leq N} e(g(n)) \ll N \exp \left(-\frac{1}{2}(\log N)^{\frac{1}{3}}\right)+N\left|a_{h}\right|^{1 /(10 h)} . \tag{3.4}
\end{equation*}
$$

Lemma 3.2 ([1, Theorem 3]). Under the hypotheses of Lemma 3.1 we have

$$
S=\sum_{p \leq P}^{\prime} e(g(p)) \ll P \exp \left(-c(\log \log P)^{2}\right)+P(\log P)^{-1}\left|a_{h}\right|^{1 /(10 h)}
$$

where $c$ is a constant depending on $g$.
The following lemma due to Vinogradov provides an estimate of the Fourier coefficients of certain Urysohn functions.

Lemma 3.3 ([12, Lemma 12]). Let $\alpha, \beta, \Delta$ be real numbers satisfying

$$
0<\Delta<\frac{1}{2}, \quad \Delta \leq \beta-\alpha \leq 1-\Delta
$$

Then there exists a periodic function $\psi(x)$ with period 1, satisfying
(1) $\psi(x)=1$ in the interval $\alpha+\frac{1}{2} \Delta \leq x \leq \beta-\frac{1}{2} \Delta$,
(2) $\psi(x)=0$ in the interval $\beta+\frac{1}{2} \Delta \leq x \leq 1+\alpha-\frac{1}{2} \Delta$,
(3) $0 \leq \psi(x) \leq 1$ in the remainder of the interval $\alpha-\frac{1}{2} \Delta \leq x \leq 1+\alpha-\frac{1}{2} \Delta$,
(4) $\psi(x)$ has a Fourier series expansion of the form

$$
\psi(x)=\beta-\alpha+\sum_{\substack{\nu=-\infty \\ \nu \neq 0}}^{\infty} A(\nu) e(\nu x)
$$

where

$$
|A(\nu)| \ll \min \left(\frac{1}{\nu}, \beta-\alpha, \frac{1}{\nu^{2} \Delta}\right)
$$

Finally, we give an easy result on the limit of quotients of sequences that will be used in our proof.

Lemma 3.4. Let $\left(a_{n}\right)_{n \geq 1}$ and $\left(b_{n}\right)_{n \geq 1}$ be two sequences with $0<a_{n} \leq b_{n}$ for all $n$ and

$$
\begin{equation*}
\lim _{n \rightarrow \infty} \frac{a_{n}}{b_{n}}=0 \tag{3.5}
\end{equation*}
$$

Then

$$
\lim _{n \rightarrow \infty} \frac{\sum_{i=1}^{n} a_{i}}{\sum_{i=1}^{n} b_{i}}=0
$$

Proof. Let $\varepsilon>0$ be arbitrary. Then by (3.5) there exists an $n_{0}$ such that

$$
\begin{equation*}
\frac{a_{n}}{b_{n}}<\varepsilon / 2 \tag{3.6}
\end{equation*}
$$

for $n>n_{0}$. Let $A(N):=\sum_{n=1}^{N} a_{n}$ and $B(N):=\sum_{n=1}^{N} b_{n}$. We show that there exists a $n_{1}$ such that $A(n) / B(n)<\varepsilon$ for $n>n_{1}$. Therefore we define $C(N):=\sum_{n=n_{0}+1}^{N} b_{n}$. As (3.6) implies that $a_{n}<\frac{\varepsilon}{2} b_{n}$ for $n>n_{0}$ we get

$$
\frac{A(n)}{B(n)}=\frac{A\left(n_{0}\right)+\sum_{i=n_{0}+1}^{n} a_{i}}{B\left(n_{0}\right)+\sum_{i=n_{0}+1}^{n} b_{i}}<\frac{A\left(n_{0}\right)+\frac{\varepsilon}{2} C(n)}{B\left(n_{0}\right)+C(n)} .
$$

As $b_{n}>0$ we have that $C(n) \rightarrow \infty$ for $n \rightarrow \infty$. Thus

$$
\lim _{n \rightarrow \infty} \frac{A\left(n_{0}\right)+\frac{\varepsilon}{2} C(n)}{B\left(n_{0}\right)+C(n)}=\frac{\varepsilon}{2}
$$

Therefore there is a $n_{1} \geq n_{0}$ such that $A(n) / B(n) \leq \varepsilon$ for $n>n_{1}$ which proves the lemma.

## 4. Value Distribution of Entire Functions

Before we start with the proof of the theorems, we need an estimation of the number of solutions for the equation $f(x)=a$ with $f$ a transcendental entire function and $a \in \mathbb{C}$.

In this section we want to show the following result.
Proposition 1. Let $f$ be a transcendental entire function of logarithmic order $\alpha$. Then for the number of solutions of the equation $f(x)=a$ the following estimate holds.

$$
\begin{equation*}
n(r, f-a) \ll(\log r)^{\alpha-1} \tag{4.1}
\end{equation*}
$$

As usual in Nevanlinna Theory we do not deal with $n(r, f-a)$ directly but use a strongly related function, which is defined by

$$
\begin{equation*}
N(r, f)=\int_{1}^{r} \frac{n(t, f)-n(0, f)}{t} d t-n(0, f) \log r \tag{4.2}
\end{equation*}
$$

in order to prove the proposition. The connection between $n(r, f-a)$ and $N(r, f-a)$ is illustrated in the following lemma.

Lemma 4.1 ([3, Theorem 4.1]). Let $f(x)$ be a non-constant meromorphic function in $\mathbb{C}$. For each $a \in \mathbb{C}, N(r, f-a)$ is of logarithmic order $\lambda+1$, where $\lambda$ is the logarithmic order of $n(r, f-a)$.

The next lemma provides us with a very good estimation of the order of $N(r, f-a)$.
Lemma 4.2 ([9, Theorem]). If $f$ is an entire function of logarithmic order $\alpha$ where $1<\alpha \leq 2$, then for all values $a \in \mathbb{C}$

$$
\log M(r, f) \sim N(r, f-a) \sim \log M\left(r(\log r)^{2-\alpha}\right) \sim N\left(r(\log r)^{2-\alpha}\right)
$$

Now it is easy to prove Proposition 1.

Proof of Proposition 1. As $f$ fulfills the assumptions of Lemma 4.2 we have that

$$
\begin{equation*}
N(r, f-a) \sim M(r, f) \ll(\log r)^{\alpha} . \tag{4.3}
\end{equation*}
$$

Thus we have that $N(r, f-a)$ is of logarithmic order $\alpha$ and therefore by Lemma 4.1 we get that $n(r, f-a)$ is of logarithmic order $\alpha-1$.

## 5. Proof of Theorem 1

We fix the block $d_{1} \ldots d_{l}$ throughout the proof. Moreover, we adopt the following notation. Let $\mathcal{N}(f(n))$ be the number of occurrences of the block $d_{1} \ldots d_{l}$ in the $q$-ary expansion of the integer part $\lfloor f(n)\rfloor$. Furthermore, denote by $\ell(m)$ the length of the $q$-ary expansion of the integer $m$, i.e., $\ell(m)=\left\lfloor\log _{q} m\right\rfloor+1$. Define $M$ by

$$
\begin{equation*}
\sum_{n=1}^{M-1} \ell(f(n))<N \leq \sum_{n=1}^{M} \ell(f(n)) \tag{5.1}
\end{equation*}
$$

Because $f$ is of logarithmic order $\alpha<\frac{4}{3}$ we easily see that

$$
\ell(f(n)) \ll(\log M)^{\alpha} \quad(1 \leq n \leq M)
$$

Thus

$$
\left|\mathcal{N}\left(\theta_{q}(f) ; d_{1} \ldots d_{l} ; N\right)-\sum_{n=1}^{M} \mathcal{N}(f(n))\right| \ll l M
$$

We denote by $J$ and $\bar{J}$ the maximum length and the average length of $\lfloor f(n)\rfloor$ for $n \in\{1, \ldots, N\}$, respectively, i.e.,

$$
\begin{align*}
& J:=\max _{1 \leq n \leq M} \ell(\lfloor f(n)\rfloor) \ll>(\log M)^{\alpha}, \\
& \bar{J}:=\frac{1}{M} \sum_{n=1}^{M} \ell(\lfloor f(n)\rfloor) \ll \gg(\log M)^{\alpha}, \tag{5.2}
\end{align*}
$$

where $\ll>$ stands for both $\ll$ and $\gg$. Note that from these definitions we immediately see that

$$
\begin{equation*}
N=M \bar{J}+\mathcal{O}\left((\log M)^{\alpha}\right) \tag{5.3}
\end{equation*}
$$

Thus in order to prove the theorem it suffices to show

$$
\begin{equation*}
\sum_{n=1}^{M} \mathcal{N}(f(n))=\frac{1}{q^{l}} N+o(N) \tag{5.4}
\end{equation*}
$$

In order to count the occurrences of the block $d_{1} \ldots d_{l}$ in the $q$-ary expansion of $\lfloor f(n)\rfloor(1 \leq$ $n \leq M)$ we define the indicator function

$$
\mathcal{I}(t)= \begin{cases}1 & \text { if } \sum_{i=1}^{l} d_{i} q^{-i} \leq t-\lfloor t\rfloor<\sum_{i=1}^{l} d_{i} q^{-i}+q^{-l}  \tag{5.5}\\ 0 & \text { otherwise }\end{cases}
$$

which is an 1-periodic function. Indeed, write $f(n)$ in $q$-ary expansion for every $n \in\{1, \ldots, M\}$, i.e.,

$$
f(n)=b_{r} q^{r}+b_{r-1} q^{r-1}+\ldots b_{1} q+b_{0}+b_{-1} q^{-1}+\ldots
$$

then the function $\mathcal{I}(t)$ is defined in a way that

$$
\mathcal{I}\left(q^{-j} f(n)\right)=1 \Longleftrightarrow d_{1} \ldots d_{l}=b_{j-1} \ldots b_{j-l}
$$

In order to write $\sum_{n \leq M} \mathcal{N}(f(n))$ properly in terms of $\mathcal{I}$ we define the subsets $I_{l}, \ldots, I_{J}$ of $\{1, \ldots, M\}$ by

$$
n \in I_{j} \Leftrightarrow f(n) \geq q^{j} \quad(l \leq j \leq J)
$$

Every $I_{j}$ consists of those $n \in\{1, \ldots, M\}$ for which we can shift the $q$-ary expansion of $\lfloor f(n)\rfloor$ at least $j$ digits to the right to count the occurrences of the block $d_{1} \ldots d_{l}$. Using these sets we get

$$
\begin{equation*}
\sum_{n \leq M} \mathcal{N}(f(n))=\sum_{j=l}^{J} \sum_{n \in I_{j}} \mathcal{I}\left(\frac{f(n)}{q^{j}}\right) \tag{5.6}
\end{equation*}
$$

In the next step we fix $j$ and show that $I_{j}=I_{j}(M)$ consists of integer intervals which are of asymptotically increasing length for $M$ increasing. As $I_{j}$ consists of all $n$ such that $f(n) \geq q^{j}$ these $n$ have to be between two zeros of the equation $f(x)=q^{j}$. By Proposition 1 the number of
solutions for this equation is $n\left(M, f-q^{j}\right) \ll(\log M)^{\alpha-1}$. Therefore we can split $I_{j}$ into $k_{j}$ integer subintervals

$$
I_{j}=\bigcup_{i=1}^{k_{j}}\left\{n_{j i}, \ldots, n_{j i}+m_{j i}-1\right\}
$$

where $m_{j i}$ is the length of the integer interval and $k_{j} \ll(\log M)^{\alpha-1}$. Thus the length of the integer intervals is increasing, i.e., $M(\log M)^{1-\alpha} \ll m_{j i} \ll M$. Thus we get that

$$
\begin{equation*}
\sum_{n \leq M} \mathcal{N}(f(n))=\sum_{j=l}^{J} \sum_{i=1}^{k_{j}} \sum_{n_{j i} \leq n<n_{j i}+m_{j i}} \mathcal{I}\left(\frac{f(n)}{q^{j}}\right) \tag{5.7}
\end{equation*}
$$

Following Nakai and Shiokawa $[7,8]$ we want to approximate $\mathcal{I}$ from above and from below by two 1-periodic functions having small Fourier coefficients. In particular, we set

$$
\begin{align*}
& \alpha_{-}=\sum_{\lambda=1}^{l} d_{\lambda} q^{-\lambda}+\left(2 \delta_{i}\right)^{-1}, \quad \beta_{-}=\sum_{\lambda=1}^{l} d_{\lambda} q^{-\lambda}+q^{-l}-\left(2 \delta_{i}\right)^{-1}, \quad \Delta_{-}=\delta_{i}^{-1}, \\
& \alpha_{+}=\sum_{\lambda=1}^{l} d_{\lambda} q^{-\lambda}-\left(2 \delta_{i}\right)^{-1}, \quad \beta_{+}=\sum_{\lambda=1}^{l} d_{\lambda} q^{-\lambda}+q^{-l}+\left(2 \delta_{i}\right)^{-1}, \quad \Delta_{+}=\delta_{i}^{-1} . \tag{5.8}
\end{align*}
$$

We apply Lemma 3.3 with $(\alpha, \beta, \Delta)=\left(\alpha_{-}, \beta_{-}, \Delta_{-}\right)$and $(\alpha, \beta, \Delta)=\left(\alpha_{+}, \beta_{+}, \Delta_{+}\right)$, respectively, in order to get two functions $\mathcal{I}_{-}$and $\mathcal{I}_{+}$. By the choices of $\left(\alpha_{ \pm}, \beta_{ \pm}, \Delta_{ \pm}\right)$it is immediate that

$$
\begin{equation*}
\mathcal{I}_{-}(t) \leq \mathcal{I}(t) \leq \mathcal{I}_{+}(t) \quad(t \in \mathbb{R}) \tag{5.9}
\end{equation*}
$$

Lemma 3.3 also implies that these two functions have Fourier expansions

$$
\begin{equation*}
\mathcal{I}_{ \pm}(t)=q^{-l} \pm \delta_{i}^{-1}+\sum_{\substack{\nu=-\infty \\ \nu \neq 0}}^{\infty} A_{ \pm}(\nu) e(\nu t) \tag{5.10}
\end{equation*}
$$

satisfying

$$
\begin{equation*}
\left|A_{ \pm}(\nu)\right| \ll \min \left(|\nu|^{-1}, \delta_{i}|\nu|^{-2}\right) \tag{5.11}
\end{equation*}
$$

In a next step we want to replace $\mathcal{I}$ by $\mathcal{I}_{+}$in (5.6). To this matter we observe, using (5.9), that

$$
\left|\mathcal{I}(t)-\mathcal{I}_{+}(t)\right| \leq\left|\mathcal{I}_{+}(t)-\mathcal{I}_{-}(t)\right| \ll \delta_{i}^{-1}+\sum_{\substack{\nu=-\infty \\ \nu \neq 0}}^{\infty} A_{ \pm}(\nu) e(\nu t)
$$

Together with (5.6) this implies that

$$
\sum_{n \leq M} \mathcal{N}(f(n))=\sum_{j=l}^{J} \sum_{i=1}^{k_{j}} \sum_{n_{j i} \leq n<n_{j i}+m_{j i}}\left(\mathcal{I}_{+}\left(\frac{f(n)}{q^{j}}\right)+\mathcal{O}\left(\delta_{i}^{-1}+\sum_{\substack{\nu=-\infty \\ \nu \neq 0}}^{\infty} A_{ \pm}(\nu) e\left(\nu \frac{f(n)}{q^{j}}\right)\right)\right)
$$

Inserting the Fourier expansion of $\mathcal{I}_{+}$this yields

$$
\begin{equation*}
\sum_{n \leq M} \mathcal{N}(f(n))=\sum_{j=l}^{J} \sum_{i=1}^{k_{j}} \sum_{n_{j i} \leq n<n_{j i}+m_{j i}}\left(\frac{1}{q^{l}}+\mathcal{O}\left(\delta_{i}^{-1}+\sum_{\substack{\nu=-\infty \\ \nu \neq 0}}^{\infty} A_{ \pm}(\nu) e\left(\nu \frac{f(n)}{q^{j}}\right)\right)\right) \tag{5.12}
\end{equation*}
$$

Because of the definition of $M$ and $\bar{J}$ in (5.1) and (5.2), respectively, and the estimate in (5.3) we get that

$$
\begin{equation*}
\sum_{j=l}^{J} \sum_{i=1}^{k_{j}} \sum_{n_{j i} \leq n<n_{j i}+m_{j i}} 1=\bar{J} M+\mathcal{O}(l M)=N+\mathcal{O}(l M) \tag{5.13}
\end{equation*}
$$

Inserting this in (5.12) and subtracting the main part $N q^{-l}$ we obtain

$$
\begin{equation*}
\left|\sum_{n \leq M} \mathcal{N}(f(n))-\frac{N}{q^{l}}\right| \ll \sum_{j=l}^{J} \sum_{i=1}^{k_{j}} \sum_{n_{j i} \leq n<n_{j i}+m_{j i}}\left(\delta_{i}^{-1}+\sum_{\substack{\nu=-\infty \\ \nu \neq 0}}^{\infty} A_{ \pm}(\nu) e\left(\frac{\nu}{q^{j}} f(n)\right)\right)+l M \tag{5.14}
\end{equation*}
$$

Now we consider the coefficients $A_{ \pm}(\nu)$. Noting (5.11) one sees that

$$
A_{ \pm}(\nu) \ll \begin{cases}\nu^{-1} & \text { for }|\nu| \leq \delta_{i} \\ \delta_{i} \nu^{-2} & \text { for }|\nu|>\delta_{i}\end{cases}
$$

Estimating trivially all summands with $|\nu|>\delta$ we get

$$
\begin{equation*}
\sum_{\substack{\nu=-\infty \\ \nu \neq 0}}^{\infty} A_{ \pm}(\nu) e\left(\frac{\nu}{q^{j}} f(n)\right) \ll \sum_{\nu=1}^{\delta_{i}} \nu^{-1} e\left(\frac{\nu}{q^{j}} f(n)\right)+\delta_{i}^{-1} \tag{5.15}
\end{equation*}
$$

Using this in (5.14) and changing the order of summation yields

$$
\begin{equation*}
\left|\sum_{n \leq M} \mathcal{N}(f(n))-\frac{N}{q^{l}}\right| \ll \sum_{j=l}^{J} \sum_{i=1}^{k_{j}} \sum_{n_{j i} \leq n<n_{j i}+m_{j i}}\left(\delta_{i}^{-1}+\sum_{\nu=1}^{\delta_{i}} \nu^{-1} e\left(\frac{\nu}{q^{j}} f(n)\right)\right)+l M . \tag{5.16}
\end{equation*}
$$

The crucial part is now to estimate the exponential sum containing the entire function $f$. Define

$$
\begin{equation*}
S(X):=\sum_{n \leq X} e\left(\frac{\nu}{q^{j}} f(n)\right) \tag{5.17}
\end{equation*}
$$

We now treat the sum $S(X)$ by a similar reasoning as in the proof of Baker [1, Theorem 2]. We will show that the sum only depends on $f$ and $X$.

To this matter we let the parameter $d$ occurring in Lemma 3.1 be a function of $X$, in particular, we set

$$
\begin{equation*}
d=d(X)=\left\lfloor 10^{-2}(\log X)^{1 / 3}(\log \log X)^{-2}\right\rfloor \tag{5.18}
\end{equation*}
$$

which tends to infinity with $X$ (see equation (11) of [1]). Moreover, we define the polynomial

$$
g_{j}(x)=\frac{\nu}{q^{j}}\left(a_{1} x+\cdots+a_{d} x^{d}\right)
$$

by the first $d$ summands of the power series of $\frac{\nu}{q^{j}} f$. The parameter $h$ of Lemma 3.1 will also be a function of $X$. In particular, we set $h=h(X)$ to be the largest positive integer such that $h \leq d$ and

$$
\begin{equation*}
X^{-h+\frac{1}{2}}<\left|\frac{\nu}{q^{j}} a_{h}\right| \tag{5.19}
\end{equation*}
$$

As shown in [1], $h$ also tends to infinity with $X$.
Up to now we have not chosen a value for $\delta_{i}$. For the moment, we just assume that $\delta_{i} \leq h$ because this choice implies that the summation index $\nu$ varies only over positive integers that are less than $h$. Thus the logarithmic order of $\frac{\nu}{q^{j}} f(n)$ is less than $\frac{4}{3}$. Indeed,

$$
\begin{equation*}
\log \left(\frac{\nu}{q^{j}} f(n)\right)<\log h-j \log q+\log f(n)<\log \log X+(\log X)^{\alpha}<(\log X)^{\bar{\alpha}} \tag{5.20}
\end{equation*}
$$

where $\bar{\alpha}=\alpha+\varepsilon<\frac{4}{3}$. Note that $g_{j}$ satisfies the conditions of Lemma 3.1. The estimate for the logarithmic order of $\frac{\nu}{q^{j}} f(n)$ will enable us to replace $f$ by $g_{j}$ in (5.17) causing only a small error term. This will then permit us to apply Lemma 3.1 in order to estimate $S(X)$.

By (5.20), equation (15) of [1] implies that for $d$ as in (5.18)

$$
\begin{equation*}
\sum_{t>d}\left|\frac{\nu}{q^{j}} a_{t}\right| X^{t}<(2 X)^{-1} \tag{5.21}
\end{equation*}
$$

and therefore (see [1])

$$
\left|\sum_{n \leq X} e\left(\frac{\nu}{q^{j}} f(n)\right)\right| \leq\left|\sum_{n \leq X} e\left(g_{j}(n)\right)\right|+\pi
$$

By this we can use Baker's estimations for exponential sums over entire functions contained in Lemma 3.1 and get with $d=d(X)$ and $h=h(X)$ defined in (5.18) and (5.19), respectively,

$$
\begin{equation*}
S(X) \ll X \exp \left(-\frac{1}{2}(\log X)^{\frac{1}{3}}\right)+X \exp (-h) \tag{5.22}
\end{equation*}
$$

Now it is time to set $\delta_{i}$ for every $i$. As $\nu$ changes the coefficients of the function under consideration we calculate for every $\nu=1, \ldots, d\left(m_{j i}\right)$ the corresponding $h_{\nu}\left(m_{j i}\right)$. In order to fulfill the constraint on the logarithmic order we need to chose $\delta_{i}$ smaller than the smallest $h_{\nu}\left(m_{j i}\right)$ with $\nu \leq \delta_{i}$. Thus we set

$$
\begin{equation*}
\delta_{i}:=\max \left\{r \leq d\left(m_{j i}\right): r \leq \min \left\{h_{\nu}\left(m_{j i}\right): \nu \leq r\right\}\right\} . \tag{5.23}
\end{equation*}
$$

This is always possible since $h_{\nu}\left(m_{j i}\right) \geq 1$. For this choice we also have $\delta_{i} \leq h_{\nu}\left(m_{j i}\right)$ and $\delta_{i} \rightarrow \infty$ as $m_{j i} \rightarrow \infty$ because the minimum of the $h_{\nu}\left(m_{j i}\right)$ tends to infinity for $m_{j i} \rightarrow \infty$. Doing this for every $i=1, \ldots, k$ (i.e., for every integer interval comprising the set $I_{j}$ ) we can apply (5.22) with $X=m_{j i}$ and use the fact that $\delta_{i}$ is the smallest $h_{\nu}\left(m_{j i}\right)$ for $i$. This yields

$$
\begin{aligned}
\sum_{i=1}^{k_{j}} \sum_{\nu=1}^{\delta_{i}} \nu^{-1} \sum_{n_{j i} \leq n<n_{j i}+m_{j i}} e\left(\frac{\nu}{q^{j}} f(n)\right) & \ll \sum_{i=1}^{k_{j}} \sum_{\nu=1}^{\delta_{i}} \nu^{-1} S\left(m_{j i}\right) \\
& \ll \sum_{i=1}^{k_{j}} \sum_{\nu=1}^{\delta_{i}} \nu^{-1} m_{j i} \exp \left(-\frac{1}{2}\left(\log m_{j i}\right)^{\frac{1}{3}}\right)+m_{j i} \exp \left(-\delta_{i}\right) \\
& \ll \sum_{i=1}^{k_{j}}\left(m_{j i} \exp \left(-\frac{1}{2}\left(\log m_{j i}\right)^{\frac{1}{3}}\right)+m_{j i} \exp \left(-\delta_{i}\right)\right) \log \delta_{i}
\end{aligned}
$$

As we do not know the asymptotic behavior of $\delta_{i}$ we have to distinguish the cases whether $\exp \left(-\delta_{i}\right)$ is greater or smaller than $\exp \left(-\frac{1}{2}\left(\log m_{j i}\right)^{\frac{1}{3}}\right)$. In both cases we can assume that $m_{j i}$ is sufficiently large.

- Suppose first that $\exp \left(-\frac{1}{2}\left(\log m_{j i}\right)^{\frac{1}{3}}\right)>\exp \left(-\delta_{i}\right)$ holds. As $\delta_{i} \leq d\left(m_{j i}\right) \leq\left(\log m_{j i}\right)^{1 / 3}$ we get
$\exp \left(-\frac{1}{2}\left(\log m_{j i}\right)^{\frac{1}{3}}\right) \log \delta_{i} \ll \exp \left(-\frac{1}{2}\left(\log m_{j i}\right)^{\frac{1}{3}}\right)\left(\log \log m_{j i}\right) \ll \exp \left(-\frac{1}{3}\left(\log m_{j i}\right)^{\frac{1}{3}}\right)$
and thus

$$
\left(\exp \left(-\frac{1}{2}\left(\log m_{j i}\right)^{\frac{1}{3}}\right)+\exp \left(-\delta_{i}\right)\right) \log \delta_{i} \ll \exp \left(-\frac{1}{3}\left(\log m_{j i}\right)^{\frac{1}{3}}\right)+\exp \left(-\delta_{i} / 2\right)
$$

- For the second case assume that $\exp \left(-\frac{1}{2}\left(\log m_{j i}\right)^{\frac{1}{3}}\right) \leq \exp \left(-\delta_{i}\right)$ holds. This implies that $\log \delta_{i} \ll \log \log m_{j i}$ and we get

$$
\exp \left(-\frac{1}{2}\left(\log m_{j i}\right)^{\frac{1}{3}}\right) \log \delta_{i} \ll \exp \left(-\frac{1}{2}\left(\log m_{j i}\right)^{\frac{1}{3}}\right)\left(\log \log m_{j i}\right) \ll \exp \left(-\frac{1}{3}\left(\log m_{j i}\right)^{\frac{1}{3}}\right) .
$$

Therefore we also have

$$
\left(\exp \left(-\frac{1}{2}\left(\log m_{j i}\right)^{\frac{1}{3}}\right)+\exp \left(-\delta_{i}\right)\right) \log \delta_{i} \ll \exp \left(-\frac{1}{3}\left(\log m_{j i}\right)^{\frac{1}{3}}\right)+\exp \left(-\delta_{i} / 2\right)
$$

By this we have the estimation

$$
\begin{equation*}
\sum_{\nu=1}^{\delta_{i}} \nu^{-1} \sum_{n_{j i} \leq n<n_{j i}+m_{j i}} e\left(\frac{\nu}{q^{j}} f(n)\right) \ll \sum_{i=1}^{k} m_{j i}\left(\exp \left(-\frac{1}{3}\left(\log m_{j i}\right)^{\frac{1}{3}}\right)+\exp \left(-\delta_{i} / 2\right)\right) \tag{5.24}
\end{equation*}
$$

By (5.16) we get that

$$
\left|\sum_{n \leq M} \mathcal{N}(f(n))-\frac{N}{q^{l}}\right| \ll \sum_{j=l}^{J} \sum_{i=1}^{k_{j}} \sum_{n_{j i} \leq n<n_{j i}+m_{j i}}\left(\delta_{i}^{-1}+\sum_{\nu=1}^{\delta} \nu^{-1} e\left(\frac{\nu}{q^{j}} f(n)\right)\right)+l M
$$

Thus it remains to show that

$$
\begin{equation*}
\sum_{i=1}^{k_{j}} \sum_{n_{j i} \leq n<n_{j i}+m_{j i}} \delta^{-1}=\sum_{i=1}^{k_{j}} \frac{m_{j i}}{\delta_{i}}=o\left(\left|I_{j}\right|\right) \tag{5.25}
\end{equation*}
$$

and

$$
\begin{equation*}
\sum_{i=1}^{k_{j}} \sum_{n_{j i} \leq n<n_{j i}+m_{j i}} \sum_{\nu=1}^{\delta_{i}} \nu^{-1} e\left(\frac{\nu}{q^{j}} f(n)\right)=o\left(\left|I_{j}\right|\right), \tag{5.26}
\end{equation*}
$$

where $\left|I_{j}\right|=\sum_{i=1}^{k_{j}} m_{j i}$ the sum of the lengths of the integer intervals.
First we consider (5.25). Therefore we set $a_{i}=\frac{m_{j i}}{\delta_{i}}$ and $b_{i}=m_{j i}$. By noting that $\frac{a_{i}}{b_{i}}=\delta_{i}^{-1} \rightarrow 0$ we are able to apply Lemma 3.4 and get

$$
0 \leq \frac{\sum_{i=1}^{k} \frac{m_{j i}}{\delta_{i}}}{\sum_{i=1}^{k} m_{j i}} \rightarrow 0
$$

Finally we have to show (5.26). We again want to apply Lemma 3.4 by setting

$$
\begin{aligned}
a_{i} & :=m_{j i} \exp \left(-\frac{1}{3}\left(\log m_{j i}\right)^{\frac{1}{3}}\right)+m_{j i} \exp \left(-\delta_{i} / 2\right), \\
b_{i} & :=m_{j i}
\end{aligned}
$$

As $M(\log M)^{1-\alpha} \ll m_{j i} \ll M$ we get that both $\exp \left(-\frac{1}{3}\left(\log m_{j i}\right)^{\frac{1}{3}}\right)$ and $\exp \left(-\delta_{i} / 2\right)$ tend to zero. Thus we have that $\frac{a_{i}}{b_{i}} \rightarrow 0$ for $M \rightarrow \infty$. An application of Lemma 3.4 together with (5.24) gives
$0 \leq \frac{\sum_{\nu=1}^{\delta} \nu^{-1} \sum_{n_{j i} \leq n<n_{j i}+m_{j i}} e\left(\frac{\nu}{q^{j}} f(n)\right)}{\left|I_{j}\right|} \ll \frac{\sum_{i=1}^{k} m_{j i}\left(\exp \left(-\frac{1}{3}\left(\log m_{j i}\right)^{\frac{1}{3}}\right)+\exp \left(-\delta_{i} / 2\right)\right)}{\sum_{i=1}^{k} m_{j i}} \rightarrow 0$
for $M \rightarrow \infty$ and thus (5.26) holds.
We put (5.25) and (5.26) in our estimate (5.16) and get together with (5.13) that

$$
\begin{aligned}
\left|\sum_{n \leq M} \mathcal{N}(f(n))-\frac{N}{q^{l}}\right| & \ll \sum_{j=l}^{J} \sum_{i=1}^{k_{j}} \sum_{n_{j i} \leq n<n_{j i}+m_{j i}}\left(\delta_{i}^{-1}+\sum_{\nu=1}^{\delta} \nu^{-1} e\left(\frac{\nu}{q^{j}} f(n)\right)\right)+l M \\
& \ll \sum_{j=l}^{J} o\left(\left|I_{j}\right|\right)+l M=o(\bar{J} M)=o(N)
\end{aligned}
$$

Thus by (5.4) the theorem is proven.

## 6. Proof of Theorem 2

Throughout the proof $p$ will always denote a prime and $\pi(x)$ will denote the number of primes less than or equal to $x$. As in the proof of Theorem 1 we fix the block $d_{1} \ldots d_{l}$ and write $\mathcal{N}(f(p))$ for the number of occurrences of this block in the $q$-ary expansion of $\lfloor f(p)\rfloor$. By $\ell(m)$ we denote the length of the $q$-ary expansion of an integer $m$. We define an integer $P$ by

$$
\begin{equation*}
\sum_{p \leq P-1}^{\prime} \ell(\lfloor f(p)\rfloor)<N \leq \sum_{p \leq P}^{\prime} \ell(\lfloor f(p)\rfloor) . \tag{6.1}
\end{equation*}
$$

As above we get that

$$
\ell(\lfloor f(p)\rfloor) \leq(\log P)^{\alpha} \quad(2 \leq p \leq P)
$$

Again we set $J$ the greatest and $\bar{J}$ the average length of the $q$-ary expansions over the primes. Thus

$$
\begin{align*}
& J:=\max _{p \leq P \text { prime }} \ell(\lfloor f(p)\rfloor) \ll>(\log P)^{\alpha}  \tag{6.2}\\
& \bar{J}:=\frac{1}{\pi(P)} \sum_{p \leq P}^{\prime} \ell(\lfloor f(p)\rfloor) \ll>(\log P)^{\alpha} . \tag{6.3}
\end{align*}
$$

Note that by these definitions we have

$$
\begin{equation*}
N=\bar{J} P+\mathcal{O}\left((\log P)^{\alpha}\right) \tag{6.4}
\end{equation*}
$$

Thus by the same reasoning as in the proof of Theorem 1 it sufficies to show that

$$
\begin{equation*}
\sum_{p \leq P}^{\prime} \mathcal{N}(f(p))=\frac{N}{q^{l}}+o(N) \tag{6.5}
\end{equation*}
$$

We define the indicator function as in (5.5) and also the subsets $I_{l}, \ldots, I_{J}$ of $\{2, \ldots, P\}$ by

$$
n \in I_{j} \Leftrightarrow f(n) \geq q^{j} \quad(l \leq j \leq J)
$$

Following the proof of Theorem 1 we see that

$$
\begin{equation*}
\sum_{p \leq P}^{\prime} \mathcal{N}(f(p))=\sum_{j=l}^{J} \sum_{p \in I_{j}}^{\prime} \mathcal{I}\left(\frac{f(p)}{q^{j}}\right)+\mathcal{O}(l \pi(P)) \tag{6.6}
\end{equation*}
$$

Now we fix $j$ and split $I_{j}$ into $k_{j}$ integer intervals of length $m_{j i}$ for $i=1, \ldots, k$. Thus

$$
I_{j}=\bigcup_{i=1}^{k_{j}}\left\{n_{j i}, n_{j i}+1, \ldots, n_{j i}+m_{j i}-1\right\}
$$

By Proposition 1 we again get that $k_{j} \ll(\log P)^{\alpha-1}$. Thus the length of the $m_{j i}$ is asymptotically increasing for $P$, indeed, we have $P(\log P)^{1-\alpha} \ll m_{j i} \ll P$. Now we can rewrite (6.6) by

$$
\begin{equation*}
\sum_{p \leq P}^{\prime} \mathcal{N}(f(p))=\sum_{j=l}^{J} \sum_{i=1}^{k_{j}} \sum_{n_{j i} \leq p<n_{j i}+m_{j i}}^{\prime} \mathcal{I}\left(\frac{f(p)}{q^{j}}\right)+\mathcal{O}(l \pi(P)) \tag{6.7}
\end{equation*}
$$

Following Nakai and Shiokawa [7, 8] again we get as in the proof of Theorem 1 that there exist two functions $\mathcal{I}_{-}$and $\mathcal{I}_{+}$. We replace $\mathcal{I}$ by $\mathcal{I}_{+}$in (6.7) and together with the Fourier expansion of $\mathcal{I}_{+}$in (5.10) we get in the same manner as in (5.12) that

$$
\begin{equation*}
\sum_{p \leq P}^{\prime} \mathcal{N}(f(p))=\sum_{j=l}^{J} \sum_{i=1}^{k_{j}} \sum_{n_{j i} \leq p<n_{j i}+m_{j i}}^{\prime}\left(\frac{1}{q^{j}}+\mathcal{O}\left(\delta_{i}^{-1}+\sum_{\substack{\nu=-\infty \\ \nu \neq 0}}^{\infty} A_{ \pm}(\nu) e\left(\nu \frac{f(n)}{q^{j}}\right)\right)\right) \tag{6.8}
\end{equation*}
$$

By (6.1) and (6.2) together with (6.4) we have

$$
\begin{equation*}
\sum_{j=l}^{J} \sum_{i=1}^{k_{j}} \sum_{n_{j i} \leq p<n_{j i}+m_{j i}}^{\prime} 1=\bar{J} \pi(P)+\mathcal{O}(l \pi(P))=N+\mathcal{O}(l \pi(P)) \tag{6.9}
\end{equation*}
$$

We subtract the main part $N q^{-l}$ in (6.8) and get by (6.9)

$$
\begin{equation*}
\left|\sum_{p \leq P}^{\prime} \mathcal{N}(f(p))-\frac{N}{q^{l}}\right| \ll \sum_{j=l}^{J} \sum_{i=1}^{k_{j}} \sum_{n_{j i} \leq p<n_{j i}+m_{j i}}^{\prime}\left(\delta_{i}^{-1}+\sum_{\substack{\nu=-\infty \\ \nu \neq 0}}^{\infty} A_{ \pm}(\nu) e\left(\frac{\nu}{q^{j}} f(n)\right)\right)+l \pi(P) \tag{6.10}
\end{equation*}
$$

We estimate the coefficients $A_{ \pm}(\nu)$ in the same way as in (5.15). Then (6.10) simplifies to

$$
\begin{equation*}
\left|\sum_{p \leq P}^{\prime} \mathcal{N}(f(p))-\frac{N}{q^{l}}\right| \ll \sum_{j=l}^{J} \sum_{i=1}^{k_{j}} \sum_{n_{j i} \leq p<n_{j i}+m_{j i}}^{\prime}\left(\delta_{i}^{-1}+\sum_{\nu=1}^{\delta_{i}} \nu^{-1} e\left(\frac{\nu}{q^{j}} f(p)\right)\right)+l \pi(P) \tag{6.11}
\end{equation*}
$$

Again the crucial part is the estimation of an exponential sum over the primes. We apply quite the same reasoning as in the proof of Theorem 1. We set

$$
\begin{equation*}
S^{\prime}(X):=\sum_{p \leq X}^{\prime} e\left(\frac{\nu}{q^{j}} f(p)\right) \tag{6.12}
\end{equation*}
$$

and use the functions $d(X)$ and $h(X)$ defined in (5.18) and (5.19), respectively. If we assume that $\delta_{i} \leq h(X)$ then we get that the logarithmic order of $\frac{\nu}{q^{j}} f(x)$ is less than $\frac{4}{3}$ as in (5.20). We set

$$
g_{j}(x)=\frac{\nu}{q^{j}}\left(a_{d} x^{d}+\cdots+a_{1} x\right)
$$

By (5.21) we also get that

$$
\left|\sum_{p \leq X}^{\prime} e\left(\frac{\nu}{q^{j}} f(p)\right)\right| \leq\left|\sum_{p \leq X}^{\prime} e\left(g_{j}(p)\right)\right|+\pi
$$

We can apply Lemma 3.2 to get the estimate

$$
\begin{equation*}
S^{\prime}(X) \ll X \exp \left(-c_{\nu}(\log \log X)^{2}\right)+\frac{X}{\log X} \exp (-h) \tag{6.13}
\end{equation*}
$$

where $c_{\nu}$ is a constant depending on $\nu$ and $h=h(X)$ is the function defined in (5.19).
Now we fix $i$ and for every $\nu=1, \ldots, d\left(m_{j i}\right)$ we calculate the corresponding $h_{\nu}\left(m_{j i}\right)$ and $c_{\nu}$. We set

$$
\begin{gather*}
\delta_{i}:=\max \left\{r \leq d\left(m_{j i}\right): r \leq \min \left\{h_{\nu}\left(m_{j i}\right): \nu \leq r\right\}\right\},  \tag{6.14}\\
\bar{c}_{i}:=\min \left\{c_{\nu}: \nu=1, \ldots, \delta_{i}\right\} .
\end{gather*}
$$

By the above reasoning we have that $\delta_{i} \rightarrow \infty$ for $m_{j i}$ and therefore for $P$.
By this we get a $\delta_{i}$ for every $i=1, \ldots, k$ and we can estimate the exponential sum in (6.11) with help of (6.13) and the definitions of $\delta_{i}$ and $\bar{c}_{i}$ in (6.14) to get

$$
\begin{align*}
\sum_{i=1}^{k_{j}} \sum_{n_{j i} \leq p<n_{j i}+m_{j i}}^{\prime} \sum_{\nu=1}^{\delta_{i}} \nu^{-1} e\left(\frac{\nu}{q^{j}} f(p)\right) & \ll \sum_{i=1}^{k_{j}} \sum_{\nu=1}^{\delta_{i}} \nu^{-1} S^{\prime}\left(m_{j i}\right)  \tag{6.15}\\
& \ll \sum_{i=1}^{k_{j}} \sum_{\nu=1}^{\delta_{i}} \nu^{-1} m_{j i}\left(\exp \left(-\bar{c}_{i}\left(\log \log m_{j i}\right)^{2}\right)+\frac{\exp \left(-\delta_{i}\right)}{\log m_{j i}}\right) \\
& \ll \sum_{i=1}^{k_{j}} m_{j i}\left(\exp \left(-\bar{c}_{i}\left(\log \log m_{j i}\right)^{2}\right)+\frac{\exp \left(-\delta_{i}\right)}{\log m_{j i}}\right) \log \delta_{i} .
\end{align*}
$$

As we do not know the asymptotic behavior of $\delta_{i}$ we want to merge it with the expression in the parathesis and therefore have to distinguish two cases according whether $\exp \left(-\delta_{i}\right)\left(\log m_{j i}\right)^{-1}$ is greater or smaller than $\exp \left(-\bar{c}_{i}\left(\log \log m_{j i}\right)^{2}\right)$.

- If $\exp \left(-\bar{c}_{i}\left(\log \log m_{j i}\right)^{2}\right)>\exp \left(-\delta_{i}\right)\left(\log m_{j i}\right)^{-1}$ then as $\delta_{i} \leq(\log P)^{1 / 3}$ we have that $\exp \left(-\bar{c}_{i}\left(\log \log m_{j i}\right)^{2}\right) \log \delta_{i} \leq \exp \left(-\bar{c}_{i}\left(\log \log m_{j i}\right)^{2}\right) \log \log m_{j i}<\exp \left(-\bar{c}_{i} / 2\left(\log \log m_{j i}\right)^{2}\right)$.

Thus

$$
\begin{aligned}
&\left(\exp \left(-\bar{c}_{i}\left(\log \log m_{j i}\right)^{2}\right)+\exp \left(-\delta_{i}\right)\left(\log m_{j i}\right)^{-1}\right) \log \delta_{i} \\
& \ll \exp \left(-\bar{c}_{i} / 2\left(\log \log m_{j i}\right)^{2}\right)+\exp \left(-\delta_{i} / 2\right)\left(\log m_{j i}\right)^{-1}
\end{aligned}
$$

- On the contrary we have $\exp \left(-\bar{c}_{i}\left(\log \log m_{j i}\right)^{2}\right) \leq \exp \left(-\delta_{i}\right)\left(\log m_{j i}\right)^{-1}$ and this implies $\delta_{i} \leq c\left(\log \log m_{j i}\right)^{2}$ for a positive constant $c$. Therefore we get $\exp \left(-\bar{c}_{i}\left(\log \log m_{j i}\right)^{2}\right) \log \delta_{i} \leq \exp \left(-\bar{c}_{i}\left(\log \log m_{j i}\right)^{2}\right) c\left(\log \log m_{j i}\right)^{2}<\exp \left(-\bar{c}_{i} / 2\left(\log \log m_{j i}\right)^{2}\right)$.

We again have

$$
\begin{aligned}
& \left(\exp \left(-\bar{c}_{i}\left(\log \log m_{j i}\right)^{2}\right)+\exp \left(-\delta_{i}\right)\left(\log m_{j i}\right)^{-1}\right) \log \delta_{i} \\
& \quad \ll \exp \left(-\bar{c}_{i} / 2\left(\log \log m_{j i}\right)^{2}\right)+\exp \left(-\delta_{i} / 2\right)\left(\log m_{j i}\right)^{-1}
\end{aligned}
$$

By this we have

$$
\begin{align*}
& \sum_{i=1}^{k_{j}} \sum_{\nu=1}^{\delta_{i}} \nu^{-1} \sum_{n_{j i} \leq p<n_{j i}+m_{j i}}^{\prime} e\left(\frac{\nu}{q^{j}} f(p)\right)  \tag{6.16}\\
& \ll \sum_{i=1}^{k_{j}} m_{j i}\left(\exp \left(-\bar{c}_{i} / 2\left(\log \log m_{j i}\right)^{2}\right)+\exp \left(-\delta_{i} / 2\right)\left(\log m_{j i}\right)^{-1}\right)
\end{align*}
$$

The considerations above can be used in (6.11) in order to obtain

$$
\left|\sum_{p \leq P}^{\prime} \mathcal{N}(f(p))-\frac{N}{q^{l}}\right| \ll \sum_{j=l}^{J} \sum_{i=1}^{k_{j}} \sum_{n_{j i} \leq p<n_{j i}+m_{j i}}^{\prime}\left(\delta_{i}^{-1}+\sum_{\nu=1}^{\delta_{i}} \nu^{-1} e\left(\frac{\nu}{q^{j}} f(p)\right)\right)+l \pi(P)
$$

Thus it remains to show that

$$
\begin{equation*}
\sum_{i=1}^{k_{j}} \sum_{n_{i} \leq p<n_{i}+m_{j i}}^{\prime} \delta_{i}^{-1}=o\left(\pi\left(I_{j}\right)\right) \tag{6.17}
\end{equation*}
$$

and

$$
\begin{equation*}
\sum_{\nu=1}^{\delta_{i}} \nu^{-1} \sum_{i=1}^{k_{j}} \sum_{n_{j i} \leq p<n_{j i}+m_{j i}}^{\prime} e\left(\frac{\nu}{q^{j}} f(p)\right)=o\left(\pi\left(I_{j}\right)\right), \tag{6.18}
\end{equation*}
$$

where $\pi\left(I_{j}\right)$ stands for the number of primes in the interval $I_{j}$.
First we have to estimate the number of primes in $I_{j}$ for every $j$. Therefore we set $m_{j i}^{\prime}:=$ $\pi\left(\left\{n_{j i}, \ldots, n_{j i}+m_{j i}-1\right\}\right)$. Thus the number of primes in $I_{j}$ is the sum of the $m_{j i}^{\prime}$, i.e. $\pi\left(I_{j}\right)=$ $\sum_{i=1}^{k_{j}} m_{j i}^{\prime}$. As

$$
\begin{equation*}
P(\log P)^{1-\alpha} \ll m_{j i} \ll P \quad\left(i=1, \ldots, k_{j}\right) \tag{6.19}
\end{equation*}
$$

holds we consider an integer interval $[x-y, x] \cap \mathbb{Z}$ with $x(\log x)^{1-\alpha} \leq y<x$. We set $y:=x \beta^{-1}$ and get

$$
\begin{equation*}
1<\beta \leq(\log x)^{\alpha-1} \tag{6.20}
\end{equation*}
$$

To estimate the number of primes we apply the Prime Number Theorem in the following form (which is a weaker result than in Chapter 11 of [6]).

$$
\begin{equation*}
\pi(x)=\frac{x}{\log x}+\mathcal{O}\left(\frac{x}{(\log x)^{2}}\right) \tag{6.21}
\end{equation*}
$$

Thus we get with (6.20) and (6.21)

$$
\begin{align*}
\pi([x-y, x] \cap \mathbb{Z}) & =\pi(x)-\pi(x-y) \\
& =\frac{x}{\log x}-\frac{x-x \beta^{-1}}{\log \left(x-x \beta^{-1}\right)}+\mathcal{O}\left(\frac{x}{(\log x)^{2}}\right) \\
& =\frac{x}{\log x}-\frac{x-x \beta^{-1}}{\log x+\mathcal{O}\left(\beta^{-1}\right)}+\mathcal{O}\left(\frac{x}{(\log x)^{2}}\right)  \tag{6.22}\\
& =\frac{x}{\log x}-\frac{x-x \beta^{-1}}{\log x}\left(1+\mathcal{O}\left(\beta^{-1}(\log x)^{-1}\right)\right)+\mathcal{O}\left(\frac{x}{(\log x)^{2}}\right) \\
& =\frac{y}{\log x}+\mathcal{O}\left(\frac{x}{(\log x)^{2}}\right)
\end{align*}
$$

Now we reformulate (6.22) by setting $x=P$ and $y=m_{j i}$ and get with (6.19)

$$
\begin{equation*}
m_{j i}^{\prime}=\pi\left(\left\{n_{i}, \ldots, n_{i}+m_{j i}-1\right\}\right)=\frac{m_{j i}}{\log P}+\mathcal{O}\left(\frac{P}{(\log P)^{2}}\right) \tag{6.23}
\end{equation*}
$$

Now we use the estimation (6.23) in order to show (6.17). By setting $a_{i}=\frac{m_{j i}^{\prime}}{\delta_{i}}$ and $b_{i}=m_{j i}^{\prime}$ we note that as $m_{j i}^{\prime} \rightarrow \infty$ we get that $m_{j i} \rightarrow \infty$ which implies $\frac{a_{i}}{b_{i}} \rightarrow 0$. Therefore we can apply Lemma 3.4 and get

$$
0 \leq \frac{\sum_{p \in I_{j}}^{\prime} \delta^{-1}}{\pi\left(I_{j}\right)}=\frac{\sum_{i=1}^{k} \frac{m_{j i}}{\delta_{i}}}{\sum_{i=1}^{k} m_{j i}^{\prime}} \rightarrow 0 .
$$

Finally we show that (6.18) holds. We set

$$
\begin{aligned}
a_{i} & =m_{j i}\left(\exp \left(-\bar{c}_{i} / 2\left(\log \log m_{j i}\right)^{2}\right)+\exp \left(-\delta_{i} / 2\right)\left(\log m_{j i}\right)^{-1}\right), \\
b_{i} & =m_{j i}^{\prime} .
\end{aligned}
$$

By the estimation in (6.23) we get that $\frac{a_{i}}{b_{i}} \rightarrow 0$ for $P \rightarrow \infty$ and we are able to apply Lemma 3.4. Thus with (6.16) we get

$$
\begin{aligned}
& 0 \leq \frac{\sum_{i=1}^{k_{j}} \sum_{\nu=1}^{\delta} \nu^{-1} \sum_{n_{j i} \leq p<n_{j i}+m_{j i}}^{\prime} e\left(\frac{\nu}{q^{j}} f(p)\right)}{\pi\left(I_{j}\right)} \\
& \ll \frac{\sum_{i=1}^{k_{j}} m_{j i}\left(\exp \left(-\bar{c}_{i} / 2\left(\log \log m_{j i}\right)^{2}\right)+\exp \left(-\delta_{i} / 2\right)\left(\log m_{j i}\right)^{-1}\right)}{\sum_{i=1}^{k_{j}} m_{j i}^{\prime}} \rightarrow 0
\end{aligned}
$$

Thus by putting (6.11), (6.18), and (6.17) together we get

$$
\begin{aligned}
\left|\sum_{p \leq P}^{\prime} \mathcal{N}(f(p))-\frac{N}{q^{l}}\right| & \ll \sum_{j=l}^{J} \sum_{i=1}^{k_{j}} \sum_{n_{j i} \leq p<n_{j i}+m_{j i}}^{\prime}\left(\delta_{i}^{-1}+\sum_{\nu=1}^{\delta_{i}} \nu^{-1} e\left(\frac{\nu}{q^{j}} f(p)\right)\right)+l \pi(P) \\
& \ll \sum_{j=l}^{J} o\left(\pi\left(I_{j}\right)\right)+l \pi(P) \ll o(\bar{J} P) \ll o(N),
\end{aligned}
$$

which, together with (6.5), proves Theorem 2.

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